
CITY OF WINSTON-SALEM TRANSFORMATIONAL PHASE

PROCESS AND MATRIX

January 10, 2022

FEDERAL OBJECTIVES OF THE CORONAVIRUS LOCAL FISCAL RECOVERY FUNDS

1

To respond to the public health emergency or its negative economic impacts

2

To respond to workers performing essential work during the COVID-19 pandemic

3

To replace lost public sector revenue due to the pandemic

4

To make necessary improvements in water, sewer, or broadband infrastructure

TRANSFORMATIONAL GRANTS AND THE CLFRF FRAMEWORK

**Transformational
Phase RFP**

Phase I Programs Have Included:	Amount
Community Violence Intervention Programs – eligible programs adopted in the FY 22 budget	\$1,350,000
Recovery Grants for Small Businesses – relief for existing local, small businesses	1,000,000
Recovery Grants to Travel, Tourism, and Arts Non-Profits – targeted relief and reopening	1,000,000
Recovery Grants for Social Service Non-Profits – relief for existing small non-profits	1,000,000
Recovery Grants Program Administration – United Way	50,950
Premium Pay for City Employees - \$500 per eligible employee	1,500,000
Right to Counsel – Evictions Diversion	100,000
SUBTOTAL FOR PHASE I	\$5,900,950
Future Phases Could Include:	
Housing Investments – land acquisition and renovation/development	\$20,000,000
Social Assistance – grants and programs to support poverty alleviation and economic mobility	7,000,000
Digital Divide – infrastructure and/or grants for broadband access and digital literacy	5,000,000
Economic Assistance – job training, economic development, and small business assistance	3,500,000
Restoration of City Reduced Revenues – set-aside for future lost revenue calculations	7,750,000
SUBTOTAL FOR FUTURE PHASES	\$43,250,000
Administrative Costs (5% Estimate)	\$2,550,000
Total ARPA Funding	\$51,700,000

DEFINING TRANSFORMATIONAL

Through the transformational grants, the City of Winston-Salem seeks to move the needle on key components of the City Strategic Plan, which trigger a profound, ripple effect of positive, multi-dimensional changes. Further, we seek to place equity at the forefront of the application, transforming historically significant and deeply disinvested low-income communities. This involves ambitious planning and action for community-wide impact.



PRELIMINARY MINIMUM ELIGIBILITY CRITERIA

ONLY NON-PROFITS (501 C3 AND TAX-EXEMPT FAITH BASED INSTITUTIONS) WILL BE ELIGIBLE TO APPLY.

EVALUATION AND AWARD PROCESS

- ❑ **PRELIM. REVIEW.** ARPA City Internal Task Force will review applications for meeting minimum eligibility and submission of all required materials.

- ❑ **CORE REVIEW.** Internal Staff Team (consisting of staff members at grades 15 or above) review and score applications using the Transformational Scoring Matrix.
 - Each application will be reviewed and scored by 3 staff members. The average will be taken amongst the 3 reviews.

- ❑ **TIERED SCORES.** Based on the final average score of each application, all applicants and scores will be presented in three tiers for City Council review and approval.

TRANSFORMATIONAL SCORING MATRIX

- 20%** Organizational Capacity and Implementation Plan
- 15%** Geographic and Demographic Factors (Qualified Census Tracts and Income-Based)
- 15%** Alignment to Specific Strategic Planning Priorities by Tier
- 15%** Short- and Long-Term Impact on Community Needs
- 10%** Collaboration and Stakeholder Partnerships
- 10%** Funding Sustainability
- 10%** Administration/Reporting
- 5%** Board/Leadership Representation Matching Community Demographics

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STRATEGIC PLAN AND OUTCOMES-BASED APPROACH

15% Alignment to Specific Strategic Planning Priorities by Tier

- Tier 2 of the Strategic Plan includes Poverty Reduction as a priority (definitionally vague)
- Use Winston-Salem Poverty Thought Force's Final Report to center Poverty Reduction

Included

- Food Insecurity
- Job Training and Workforce Development
- Transit/Transportation
- Child and Student Services
- Community Connectedness
- Financial Literacy

Not Included

- Housing (seperate process)
- Health Care (county function)

TRANSFORMATIONAL PHASE TIMELINE



*Scoring review timeline depends on the number of applications received.

THE FINAL RULE HAS BEEN ISSUED!!!!

- Final Rule issued 1/6/2022
 - Effective in April but can be used immediately
- Provides significantly expanded criteria for certain uses
- Provides identification of different classes of impacted persons and business types
- Timeline for expenditure stays the same:
 - Obligated by 12/31/2024
 - Spent by 12/31/2026



FINAL RULE EXPANDED ELIGIBILITY – SELECTED AREAS

- Replacement of Lost Public Sector Revenue - \$10 million or more to be used for any governmental purpose
- Capital Expenditures – Allowed in relation to service eligibility with no pre-approval
 - Enhanced reporting requirements for capital expenses over \$1 million
- Public Sector Capacity – Employment and service delivery
 - Expanded employment, retention, and re-hiring programs
 - Data, evaluation, and outreach
- Framework for “Non-Enumerated” Uses
 - “Reasonably designed” to benefit those impacted
 - “Reasonably proportional” comparing scale of response to harm